

PTO/PCT Rec'd 13 JUN 2001

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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Isumu SAITO, et al.

Appln. No. 09/807,223

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: April 11, 2001

For: RECOMBINASE-EXPRESSING CELLS

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Chen et al., "Production and Characterization of Human 293 Cell Lines Expressing the Site-Specific Recombinase Cre", *Somatic Cell and Molecular Genetics*, Vol. 22, No. 6, 1996, pp. 477-488.
2. Lieber et al., "Recombinant Adenoviruses with Large Deletions Generated by Cre-Mediated Excision Exhibit Different Biological Properties Compared with First-Generation Vectors In Vitro and In Vivo", *Journal of Virology*, Vol. 70, No. 12, December 1996, pp. 8944-8960.
3. Parks et al., "A helper-dependent adenovirus vector system: Removal of helper virus by Cre-mediated excision of the viral packaging signal", *Proc. Natl. Acad. Sci.*, Vol. 93, November 1996, pp. 13565-13570.
4. WO 96/40955, published December 19, 1996.

One copy of each of the listed documents is submitted herewith.

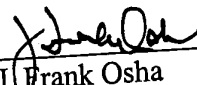
Izumu SAITO  
Appln. No. 09/807,223  
Information Disclosure Statement

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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